

U.S. Census Bureau

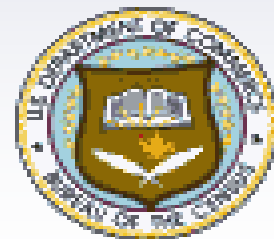


# Making AES Mandatory



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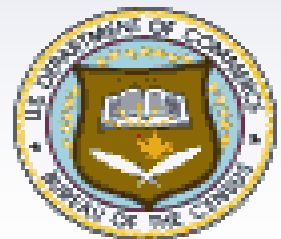
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## Phase I – January 2003

- Re-Exports of Rough Diamonds subject to the Kimberly Process
- HS Numbers 7102.10, 7102.21 and 7102.31
- Internal Transaction Number (ITN) required on the commercial documentation





## Phase II – June 2003

- Exports of items on the Commerce Control List (CCL)
- Exports of items on the United States Munitions List (USML)





## AES Requirements for CCL items

- BIS License Codes Requiring the ECCN
  - C30 – Licenses
  - C31 - Special Comprehensive License (SCL)
  - C32 - No License Required (NLR) covered by entries on the CCL
  - C35 - Limited Value Shipments (LVS)
  - C36 - Shipments to B Countries (GBS)
  - C37 - Civil End Users (CIV)





# AES Requirements for CCL items

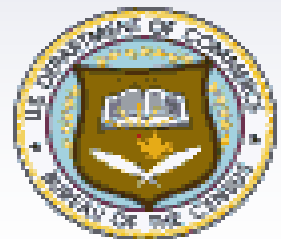
- ECCN Format = NANNN
- Must be a valid ECCN
- Incorrect Format or number will generate a fatal error





# AES Requirements for USML Items

- 1 New Data Record for USML items
- 8 New Data Elements for USML items
- New License Codes for USML items
- 3 New Appendices for USML items
- Method of Transportation requirement





## New AES Data Elements

- 8 New Data Elements for USML items
  - ODTC ITAR Exemption Number
  - ODTC Registration Number
  - ODTC Significant Military Equipment Indicator
  - ODTC Eligible Party Certification Indicator
  - ODTC USML Category Code
  - ODTC Unit of Measure
  - ODTC Quantity
  - ODTC License Line Number (Future)





## New AES Data Elements

- ITAR Exemption Number

Exempts the shipment from the requirements for a license or written authorization from ODTC.

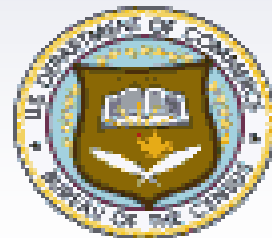
Example: 123.17E      Refer to Appendix O

- Registration Number

Assigned by ODTC authorizing you to export a particular item. The Registration Number must be active.

Example: M-1234 or M12345

Codes include: M, F, G, B,







## New AES Data Elements

- Significant Military Equipment Indicator

Yes/No indicator.

Refer to ODTC Regulations 22 CFR 120.7

- Eligible Party Certification Indicator

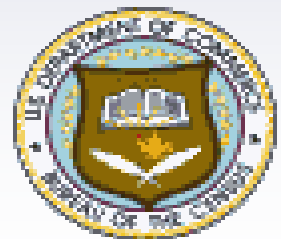
A two part process:

1. Self certification by USPPI for the exemption.

Refer to ODTC 123.22c

2. Self certification by USPPI as an eligible party.

Refer to ODTC Regulations 22 CFR 120.1c





## New AES Data Elements

- USML Category Code

A means of classifying your export shipment  
Acceptable Values 01-18 and 20-21

Refer to Appendix L

- USML Unit of Measure

Refers to the ODTTC unit of measure of the article  
being exported. Refer to Appendix W

- USML Quantity

Refers to the total number of units reported as  
the unit of measure.

- License Line Number - Reserved for future use





## Foreign Military Sales

- Must use Export Information Code “FS” Foreign Military Sales
- If “FS” is not present, shipment rejected
- Must use License Code S94
- Acceptable license number format “A(AN)-A-AAA”
- If license number is formatted differently, AES will generate a fatal error.





## State Department Requirements For USML Shipments

### New Filing Deadlines:

- Air shipments – 8 hours prior to departure
- Truck shipments – 8 hours prior to departure
- Sea shipments – 24 hours prior to departure
- Rail shipments – 24 hours prior to departure





## AES- State Munitions License Type Codes

- SAG – Agreements
- S00 – License Exemption Citation
- SCA – Canadian ITAR Exemption
- S05- DSP-5
- S61 – DSP-61
- S73 – DSP-73
- D85- DSP85





## Additional AES Changes

- New Transportation Reference Numbers
- Removal of AES Filing Option 3
- Data Entry Center Responsibilities Change
- USPPI full address information is required with every shipment





## Phase III – April 2004

- Public Law 107-228 Security Assistance Act of 2002
- All persons required to file export information, file such through AES
- Elimination of Data Entry Centers
- Filer Certification Program
- AES Redesign





## Commodity Redesign

- **Effective April 2004 – AES commodity module will be redesigned**
- **Delivers AES users no more lost transmissions, no more duplicate responses, consistent output, and clearer filing guidelines**







## Commodity Redesign

- A “Replace” shipment filing action will take the place of the “Delete” and “Add” action used to change data
- “Marks and Numbers” record (CL3) will be eliminated
- The response messages will be modified:
  - Severity indicator
  - Final disposition indicator



# U.S. Census Bureau



## For More Information

AES Branch: 1-800-549-0595 or  
301-763-3539

Email: [ASKAES@census.gov](mailto:ASKAES@census.gov)

State Department: Mary Sweeny@202-663-2865  
Peter Sabitini@ 202-663-2819

### Web Sites:

- *AESDirect*: [www.aesdirect.gov](http://www.aesdirect.gov)
- Customs AES Site:  
[www.customs.gov/xp/cgov/export/aes/](http://www.customs.gov/xp/cgov/export/aes/)
- Census Site: [www.census.gov/foreign-trade](http://www.census.gov/foreign-trade)

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